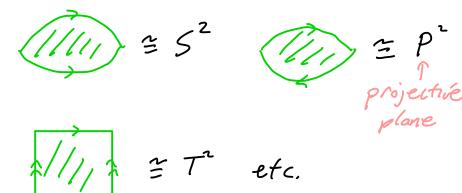
1. Introduction

While we don't have a complete classification of 3 -manitolds, we do know a lot about them. we can break them into simple pieces re. Sectent fibered spaces and Hyperbolic manifolds and we have lot's of tools to study each of these pieces In this course we will 1) gives several constructions of 3-monifolds: identitying polyhedra Heegaard splittings Dehn surgery z) Discuss the decompositions of 3-manitolds mentioned above: Prime and Torus Decompositions 3) Show how to convert algebra into topology! Disk and Sphere Theorems 4) Extensively study Dehn surgery eg. Kirby's Theorem, 3-mfd that are not surgery on a knot, knots with same surgeries, knots characterized by surgery, loosing & gaining properties via surgery...

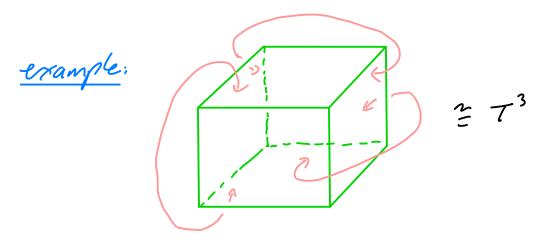
A. Examples and Constructions

simple examples $\mathbb{R}^{3}, S^{3}, D^{3}, T^{3} = 5' \times 5' \times 5', \Sigma \times [0, 1]$ Construction 1: Identify faces of 3-dun'l polyhedra

2. Demample: every surface is obtained this way

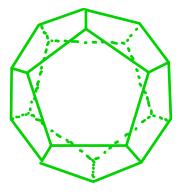


In 3-dimensions:



identify opposite faces by translation

example:



identity apposite faces of a regular dodecohedron after a rot $\frac{2\pi}{10}$

this gives a manifold D called the Poincaré do decohedral space

<u>evencise</u>: chech D is a 3-manifold (<u>easy</u> for points on interior of dodecahedron and on faces and edges. need to be careful at verticies)

erencise (harden): suppose X is obtained by identitying faces of a finite # of polyhedra 1) show X is a manifold iff the link of every vertex is a sphere (the link is formed by taking a nubbed of vertex in each simply and gluing them & taking 2 eg in ZD

Z) let X be the result of the following identification in the quotient space is a Unique verter and its night is a cone on T² is its link is T² 50 this is not a 3-mild but X-verter is! 3) show X-verter = 5-K where K= B (this is quite hard! maybe google!) 4) show X is a 3-manifold ift $\chi(\mathbf{X}) = 0$ Euler characteristic

note: the dodecabe dron has has 12 faces
30 edges.
20 vertices
edges are identified in 3's
vertices 4's
faces 4's
faces 2's
... we have 1 3-cell
6 z-cells
$$\Rightarrow \chi(D)=0$$

10 1-cells
5 0-cells
So D a manifold follows from
last exercise
Exercise
 $H_{x}(D) \cong H_{x}(S^{3})$
but $\pi_{i}(D) \equiv 1$
(extra credit $|\pi_{i}(D)| = 120$)

example: take a lens "

identify top and bottom faces after a rotation through $\frac{2\pi 9}{p}$ where (p,q) = 1

the quotient space is called a lens space and denoted L(p.9)

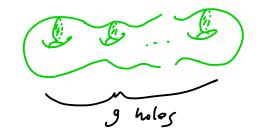
exercise: i) Show $L(1,q) \cong 5^{3}, L(0,q) \cong 5' \times 5^{2}$ 2) Show T((L(p,q)) = Z/p 3) let $5^3 \subset \mathbb{C}^2$ be the unit sphere let Elpact on 5° by the action generated by $(z_1, z_2) \mapsto (e^{i2\pi i/2} z_1, e^{i2\pi i/2} z_2)$ show L(piq) = 53/~ N = quotient space gen by action a topological space X is triangulable, I X = IKI where K is a finite simplicial complex (re. obtained by identifying faces of a finite number of simplicies) in dimension 3, we are gluing a finite number of tetra hedra

Fact (Moise 1952):

any 3-manifold is triangulable and any 2 triangulations have "subdivisions that are simplicially is omorphic

Construction 2: Heegoard splittings

a handle body of genus g is a 3-manifold V homeomorphic to



that is consider the embedding of a surface of genus q in R's shown above and V is the compact region bounded by it

lemma 1:

M' is a handlebody of genus g 3 gembedded circles a, ..., cg in 2 M s.t. 3 disjoint properly embedded disks D. .. Dg in M st. DDi = ci and M (tubular ubbd (UD)) is diffeomorphic to B³

exercise: generalize this by replacing second statement

with D, -- Dg+k disks that cut Minto (k+1) 3- balls we will prove this later, but for now a Heegaard splitting of a closed 3-manifold M is a decomposition of M $\mathcal{M} = V_1 \ U_5 \ V_2$ where Vi, V2 are genus g handlebodies $\Sigma = V_1 \cap V_2 = \partial V_1 = \partial V_2$ I is called a <u>Heegoard surface</u> of the splitting, the genus of the splitting is the genus of I another point of view if VI, V2 are two handlebodies of genus q and h: 2V, -> 2V2 is a (n orientation reversing) diffeomorphism, then consider $V_i V_h V_z = V_i \mu V_z / \rho \partial V_i \sim h(\rho) \in \partial V_z$ exercise: this is an oriented 3-mfd we say (V, Vr, h) is a Heegaard splitting of Mit M = V, U, V2

exercise: show definitions are "equivalent" (and why " - "?)

lemma Z:

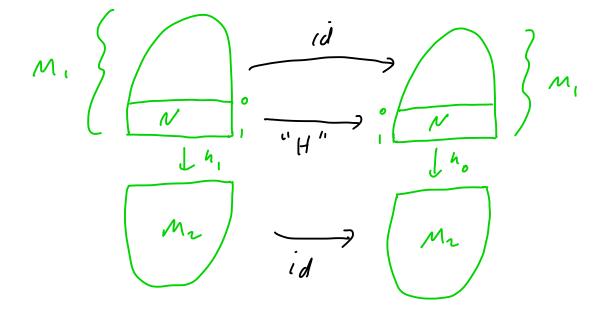
given two n-manifolds
$$M_{i}, M_{2}$$
 and diffeomorphisms
 $h_{0}, h_{i} : \partial M_{i} \rightarrow \partial M_{2}$
that are isotopic, then
 $M_{i} \cup_{h_{0}} M_{2} \cong M_{i} \cup_{h_{i}} M_{2}$

Proof:

let h: [0,1] × ∂M, → ∂Mz be the isotopy from ho to h, and write lf: [0,1] × ∂M, → [0,1] × ∂M, (tip) → (t, hoo'oh(p,t)) clearly His a diffeomorphism (or exercise!) recall, ∃ a neighborhood N of ∂M, in M,

and a diffeomorphism
$$\Psi: [0,1] \times \partial M_1 \rightarrow N$$

St. $\Psi(1,p) = p \in \partial M_1$



$$\overline{\Phi}: \mathcal{M}_{i} \mathcal{U}_{h} \mathcal{M}_{z} \longrightarrow \mathcal{M}_{i} \mathcal{U}_{h} \mathcal{M}_{z}: \rho \mapsto \begin{cases} \rho & \rho \in \mathcal{M}_{z} \mathcal{U}(\overline{\mathcal{M}_{i}} - \overline{\mathcal{N}}) \\ \Psi \circ H \circ \Psi^{-1}(\rho) & \rho \in \mathcal{N} \end{cases}$$

note: \oint well-defined on $M_1 \amalg M_2$ since on $N \cap \overline{M_1 - N} = \{o\} \times \partial M_1$ $H(o, p) = h_0^{-1} \cdot h(o, p) = h_0^{-1} \cdot h_0(p) = p$ so $\Psi \cdot H \circ \Psi^{-1}(p) = p$ (if you make h constant near t=0, this is clearly smooth too)

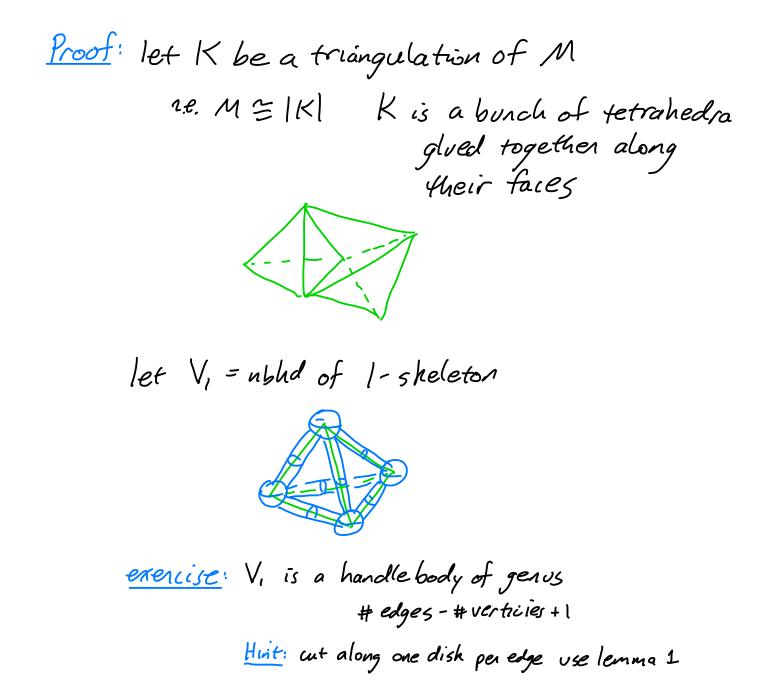
Extend this lemma to show
if
$$A \in \partial M_i$$
 is a compact domain and
 $h_0, h_i: A \rightarrow \partial M_2$ are isotopic embeddings
then $M_i \cup_{h_i} \cong M_i \cup_{h_2} M_2$
maybe check homeomorphi
(valess you know how to put
smooth structure on it)

Proof of lemma 1: (⇒) clear I remove ubbds to get (\Leftarrow) note if $M' = M \cdot nbhd(properly embedded D^2)$ to get back M just glue D2×Eo,1] back to M' where D² × {0, 1} is identified with the copies of D c dm' so it I g disks D, ... D, in M st. $M - (tub lor ubbd (D_i) \cong B^3$ then M=B' with g copies of D'x [0,1] glued on along embeddings of D'x {o, 1} but so is a handlebody of genus g! now done by

Fact any two oriented embedding of a collection of dishs into a connected surface are isotopic (maybe try to prove this!)

Theorem 3:

every close (oriented) 3-manifold has a Heegaard de composition



Crency:
$$V_{z} = \overline{M \cdot V_{1}}$$
 is also a
handle body
Hint: cut along faces of K in V_{z}
M a closed 3-manifold, the Heegaard genus $g(M)$ is
 $g(M) = \min \{genus(Z) : Z \text{ a Heegaard ste for M}\}$
note: if $g(M) = 0$, then $M \cong 5^{3}$
indeed, let $D^{3} = unit disk in \mathbb{R}^{3}$
 $S^{3} = unit sphere in (\mathbb{R}^{4})$
set $f: D^{3} \rightarrow 5^{3}: (x_{Y, e}) \mapsto (x_{Y, e}, \sqrt{1-x^{2}-y^{2}-e^{2}})$
 $g: D^{3} \rightarrow 5^{3}: (x_{Y, e}) \mapsto (x_{Y, e}, \sqrt{1-x^{2}-y^{2}-e^{2}})$
 $g: D^{3} \rightarrow 5^{3}: (x_{Y, e}) \mapsto (x_{Y, e}, \sqrt{1-x^{2}-y^{2}-e^{2}})$
 $S^{3} = in f \cup in g$ and $in f \wedge in g = 5^{2} c \mathbb{R}^{5} c \mathbb{R}^{4}$
so $g(5^{3}) = 0$
now if $h = g|_{3D^{3}} \circ (f|_{3D^{3}})^{-1}$ then
 $S^{3} = D^{3} \cup_{h} D^{3}$
 $if h^{1}$ is any homomorphism $S^{2} \rightarrow S^{2}$
then we claim $D^{3} \cup_{h} D^{3} \cong D^{3} \cup_{h} D^{3} \cong S^{3}$
to see this we note
Fact: any homeomorphism $f: S^{2} \rightarrow S^{2}$

extends over B3

Proof: just cone B3= 52× [0,1]/52× {0} $\Phi: S^{3} \times \mathcal{E}_{0} \mathcal{O}_{1} \longrightarrow S^{2} \times \mathcal{E}_{0} \mathcal{O}_{1}$ $(p, t) \longrightarrow (\phi(p), t)$ is a homeomorphism

now let
$$\phi = h^{-1} \circ h'$$
 then ϕ extends
to $\overline{E} : B^3 \rightarrow B^3$ and
 $(B^3) \xrightarrow{\overline{E}} B^3 \longrightarrow B^3 \wedge h^{-1} \circ h'(p)$
 $h(p) \xrightarrow{Lh'} B^3 \longrightarrow B^3 \wedge h'(p)$
 $Id \xrightarrow{B^3} h'(p)$

Now consider g(m) = lthen $M = 5' \times D^2 \cup_h 5' \times D^2$ for $h: 5' \times \partial D^2 \longrightarrow 5' \times \partial D^2$ (orientation reversing) <u>Fact (see Rolfsen)</u>: his isotopic to $h(e, \phi) = \begin{bmatrix} a & f \\ b & g \end{bmatrix} \begin{bmatrix} \phi \\ \phi \end{bmatrix} = (aetp\phi, betg\phi)$

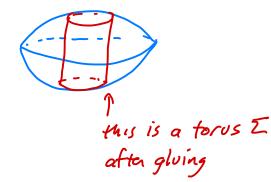
where $a_9 - b_p = -1$ if p=0, then ag=-1 so we can assume a=1, q=-1 and $h(\theta, \phi) = (\theta, b \theta - \phi)$ it b=0 then we have this circle (&= const) glues to this) \$= const circle this gives an 5² in M=5'xD² us'xD² and we get one for each des' Intuitively we get 5'x52 rigorously let f: DZ-752 $(\chi, \gamma) \mapsto (\chi, \gamma, \sqrt{1-\chi^2-\gamma^2})$ $9: D^2 \rightarrow 5^2$ $(x, y) \mapsto (x, y, \sqrt{1-x^2-y^2})$ we get $\tilde{E}: 5' \times D^2 \cup 5' \times D^2 \rightarrow 5' \times 5^2$ $(\phi, \rho) \longmapsto (\phi, f(\rho))$ $(\phi, \rho) \longrightarrow (\phi, g(\rho))$

easy to check
$$\tilde{E}$$
 induces a homeomorphism $5' \star D^2 \cup_4 5' \star D^2 \longrightarrow 5' \star 5^2$

now if
$$p \neq 0$$
 then

$$\frac{Claim}{M} = L(p,q)$$

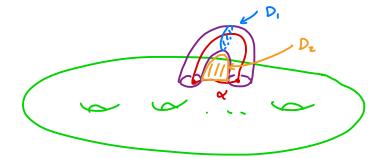
now



<u>exercise</u>: 1) show components of L(p,q)-Z are tori and compute the gluing map h

2) show for any h w/
$$p \neq 0$$

you get $L(p,q)$
3) $\pi_1 (L(p,q)) \equiv \mathbb{Z}_p$
4) $L(p,q) \cong L(p,q')$ if $q \equiv \pm q' \mod p$
(this is iff but only if harder)
5) Show $L(m,q)$ is a p fold corres of
 $L(mp,q)$
in particular, all $L(p,q)$ are
covered by $L(1,q) \equiv L(L_0)$
 $\equiv 5^3$
show this!
exercise: Show Poincaré homology sphere has a
Heegaard 2 splitting
if Σ is a Heegaard surface for M separating M
into $V_1 \cup_{\Sigma} V_2$, then
let \ll be an embedded are in Σ
push the intension of \bowtie into V_1 or V_2 , say V_2 for now, call it $\widetilde{\omega}$
 $Note: d \cup \widetilde{\omega} = \partial dwh D$
 $let V_1' = V_1 \cup N(\widetilde{\alpha})$ o tubular ubhd of $\widetilde{\omega}$
 $V_2' = V_2 - N(\widetilde{\alpha})$



<u>note</u>: $N(\alpha) = D^2 \times I$ let $D_i = D^2 \times i p t$? and I disk $D_2 \subset V'_2$ given by $D \cap V'_2$ now V_i' - ubbd $D_i \cong V_i$ and $V_z - n b h d D_z \cong V_z$ so Vi and Vi are handlebodies (check this it not obvious) we say I'= d' is the Heegaard surface obtained from E by stabilizing exercise: show stabilization, up to isotopy, is independent of a or whether interior a pushed into Vi or Vi Fact: any Z Heegaard splittings of M are isotopic atten some tinte number of stabilizations (think about how to prove this) Construction 3: Dehn surgery on links let M be a closed 3-manifold with TCOM a torus a <u>Dehn filling</u> of M is any manifold obtained by gluing M and S'×D² along T and 2(S'×D²)

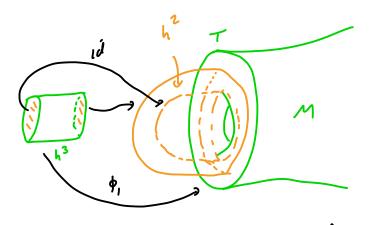
1.2.
$$M \cup_{q} S' \times D^{2} = M \amalg S' \times D^{2}$$

 $p \in \partial (S' \times D^{2}) \to T$ is a homeomorphism
denote this by $M(q)$

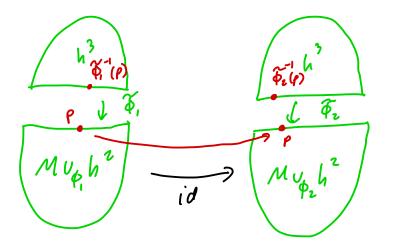
lemma 4:

the homeomorphism type of $M(q)$ is determined by
 $\alpha = q(\mu)$ up to isotopy, where $\mu = lpts \times D^{2}$

Proof: $S' \times D^{2} = I \times D^{2} \cup I \times D^{2}$
 h^{2}
 h^{2}



and similarly for $M(\phi_2)$, $\tilde{\phi}_2$: $\partial h^3 \rightarrow M u_p h^2$



so we need
$$\tilde{\phi}_{1}^{-1} \circ \tilde{\phi}_{1}^{-1} : \Im h^{3} \rightarrow \Im h^{3}$$
 to extend over h^{3}
recall, above we saw any homeo. of $5^{2} = \Im h^{3}$
extends to $B^{3} = h^{3}$
so $\exists \overline{\Phi} : h^{3} \rightarrow h^{3}$ that extends $\tilde{\Phi}_{2}^{-1} \circ \tilde{\Phi}_{1}^{-1}$

now

$$\mathcal{M}(\phi_{1}) = (\mathcal{M}_{\psi_{1}}h^{2}) \upsilon_{h}h^{3} \longrightarrow \mathcal{M}(\phi_{2}) = (\mathcal{M}_{\psi_{2}}h^{2}) \upsilon_{h}h^{3}$$

$$\rho \longmapsto \rho$$

$$\rho \longmapsto \rho$$

$$\rho \longmapsto \rho$$

囲

is a homeomorphism

exercise: Show that for any simple closed curve
$$\alpha \in T$$

that doesn't bound a disk. I a homeomorphism
 $\phi: \partial(s' \times D^2) \rightarrow T$ such that $\phi(\mu) = \alpha$
so Dehn fillings determined by a sc. $\alpha \in T \in \partial M$
denote this by $M(\alpha)$
given a basis λ, μ for $H_1(T^2)$ any simple closed
curve $\alpha \in T^2$ that doesn't bound a disk is
represented by
 $[\alpha] = \pm (\alpha \ \partial + b \ \mu)$
for a pair of co-prime integers a.b
exercise: Check this
thus non-trivial s.c.c. on Tare in one-to-one
correspondence with
 $\Omega \cup \{\omega_0\}$
so if we have a basis for $H_1(T)$ then Dehn
fillings can be denoted by $M[b/\alpha)$

Common situation: Ka knot in M³ (K= image of embedding 5' in M³)

let
$$N(K) = small tubular neighborhood of K$$

 $\subseteq 5' \times D^2$
 $M_K = \overline{M \setminus N(K)}$
 $T = \partial N(K) \subset \partial M_K$
then Dehn filling $T \subset \partial M_K$ is called Dehn
surgery on K and is denoted
 $M_K(\alpha)$ or $M_K(b/\alpha)$

<u>note</u>: in T we have the curve $\mu = \{pt\} \times \partial D^{2}$ this is called the <u>menidian of K</u>

any curve
$$\Lambda \in T$$
, with μ , forms a basis for $H_{i}(T)$
is called a longitude for K it is also called a framing
note: within itely many longitudes $\Lambda + n\mu$ any $n \in \mathbb{Z}$
given a longitude we can express Dehn surgery
using $\Omega \cup \{\infty\}$
note: $M_{K}(\omega) = M_{K}(\mu) \cong M$!

<u>exercise</u>: if X is null-homologous in M then I! simple closed curve $\lambda \in T \in \partial M_{k}$ that is trivial in M_{K} moreover, λ, μ forms a basis for $H_{i}(T)$ in particular since $H_{i}(S^{3}) = 0$ we see we can use rational numbers to describe Dehn surgery on knots in $S^{3}!$

example:

i) if U is the unknot in
$$S^3$$

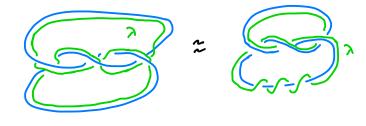
then $S_U^3 \cong D^2 \times S'$ (recall $S^3 = S' \times D^2 \vee D^2 \times S'$)

Exercise:
1)
$$S_{U}^{3}(0) \cong S' \times S^{2}$$

2) $S_{U}^{3}(-P_{q}) \cong L(P_{1}q)$
(? might have gotten orientation wrong midet¹⁹ above ... so maybe
 $S_{U}^{3}(P_{q}) \cong L(P_{1}q)$

2) any knot
$$K < S^3$$
 bounds a Seifert surface
that is a surface $Z < S^3$ with $\partial Z = K$

note: Z= ON(K) NE



we use this λ to describe Dehn surgenies using Quisas



(this is hard!)

Theorem 5 (Lickorish, Wallace ~1960):

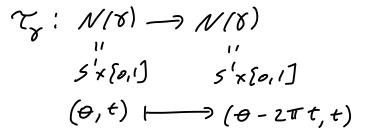
every closed, oriented 3-manifold can be obtained by Dehn surgery on a link in 5³

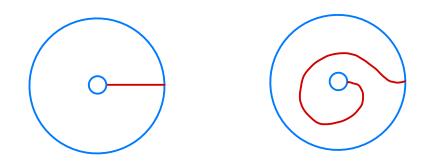
to prove this, we need two realts, the first is about homeomorphisms of surfaces let Z be an orientable surface Y a simple closed curve on Z N(8) = 5' × [0,1] a regular neighborhood of 8 $\left(\left(O \right)_{\mathbf{T}} \right)^{\mathbf{T} \times \mathbf{T}}$

a positive Dehn twist about & is a homeomorphism

 $\gamma_{z}: \mathcal{I} \rightarrow \mathcal{I}$

defined by Ty=identity on INNY)

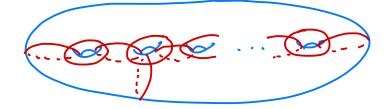




Fact (Dehn-Lickorish):

any orientation preserving homeomorphis of a surface is isotopic to a composition of Dehn twists

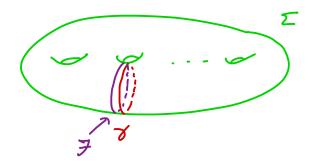
in fact, Humphries showed you only need Dehn twists about



The second result we need is

lemma 6:

let I c M' be a surface and M' be the result of cutting M³ along E and reglving by a Dehn twist of then M' Mg(7=1) where F is the framing on & induced by I



very useful! we will use this agam!

here is one more simple lemma

lemma 7: Suppose the homeomorphism &: Z-JZ is a composition \$= \$, of two homeomorphisms let ICM and N= IXE-1,13 be a nord of E W Z= Zx {0} set [= [x { 1/2}} let M'= M cut along I and reglized by & and M"= M cut along I and I' and reglied by \$ along I' and \$ along I Then M'= M"

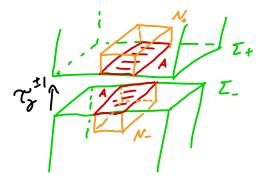
Proof of Th=5:

given M then I a genus g Heegaard splitting $M = V_1 V_2 V_2$ by stabilizing we know 53 hos a genus g splitting $5^{5} = V_{1} U_{z} V_{z}$ so I some orien. pres. homeomorphism \$: Z→Z 5.t. M = 53 cut along I and reglied by ¢ now Dehn-Lickorish $\Rightarrow \phi = \mathcal{T}_{\gamma_1}^{\mathcal{E}_1} \cdots \mathcal{T}_{\gamma_n}^{\mathcal{E}_n}$ for some curves $\mathcal{V}_1^{\mathcal{E}_1}$ and $\mathcal{E}_2 = \pm 1$ let N= Zx[-1,1] be a noted of Z < 5° Now let $Z_i = Z \times \{\frac{1}{i}\}$ 2=1, ..., nand think of Vi as sitting on Zn-i+1 now M= 5' cut along the I' and reglied along Z, by Ty by lemma 7 by lemma 6 each reglving by Tyn-2+1 is a Dehn surgery on Vn-7+1 $\therefore M = 5^3 after Dehn surgery along T_1 \cup \dots \cup S_n \blacksquare$

erencise: Using Humphries show M can be obtained from 53 by Dehn surgery on a link of unknots with surgery weft. ± 1

Proof of lemma 6:

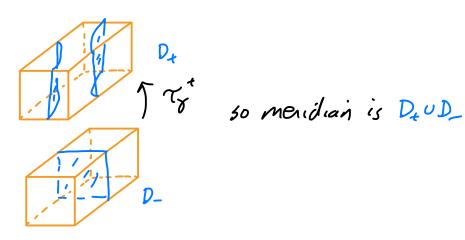
when we cut open M along I we get Z copies of E, Et

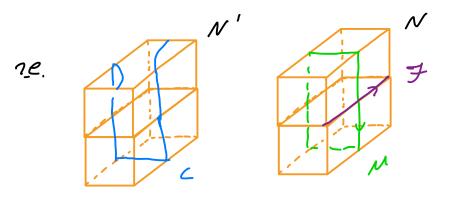


let $A = T \times [-1, 1]$ be a norm of Y on $Z = Z_{\pm}$ and $N_{\pm} = A \times [0, 1]$ a norm of $S \subset Z_{\pm}$ in Massume $T_{Y}^{\pm 1}$ is supported in Anote: we think of $T_{Y}^{\pm 1}$: $Z_{\pm} \to Z_{\pm}$ and $T_{Y}^{\pm 1}|_{Z_{\pm} \to A} : (Z_{\pm} \to A) \to (Z_{\pm} \to A)$ is the identity map let $N = N \cup N_{\pm} \subset M$ and $N' = N \cup N_{\pm}^{\pm} N_{\pm} \subset M'$ so in (M - N) if we cut along Z and reglue $M_{\pm} = T_{X}^{\pm}$ we get back M!

50 M-N and M'-N' are homeomorphic! n.e. $M' = (M - solid torus) \cup (solid torus)_{N}$ n.e. M' is obtained from M by Dehn surgery on δ

now to figure out which surgery note: N+ U2 N_ is a solid torus let's find its meridian





so C=7-M curve $n_{e} M' = M_{\kappa} (\mathcal{F} - 1)$

you can check Ty gives MK (7+1)

Proof of lemma 7:

we build the homeomorphism

 $\phi_2 \circ \phi_1$ id . 1. - 19 jid \$1 + 10 [0,112] \$100 \$1 じゃ [0,1/2] P id

exercise: show this is a homeomorphism

exencise:

1) Show how to get a Heegaard splitting of M If M is given by surgery on a link in 53 Hint: put link of a Heegaard surface for 53 so the framing from Heegoard surface is nice.

2) Given a Heegaard decomposition of M find a Dehn surgery description.

<u>Remark</u>: Two other useful descriptions of 3-mtds are open book decompositions and branched covers

erencise: look these up!